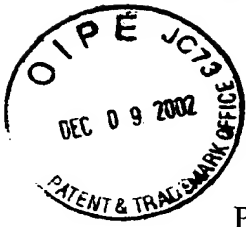


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 20033/10001

CONTINUED PROSECUTION APPLICATION REQUEST
TRANSMITTAL UNDER 37 CFR 1.53(d) with
PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136Box CPA
Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a request under 37 CFR 1.53(d) for filing a

- ☒ continuation application.
☐ divisional application.

RECEIVED
DEC 13 2002
GROUP 3600

1. Particulars of Prior Application

Application Serial No: 09/990,312
Filed on: November 23, 2001
Title: SYSTEM AND METHOD FOR
IMPLEMENTING A MORTGAGE PLAN
Art Unit: 3623
Examiner: Romain Jeanty

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Continued Prosecution Application Request Transmittal Under 37 CFR 1.53(d) and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **December 9, 2002**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. **EV266323245US**.

12/11/2002 JBALINAN 00000090 09990312

01 FC:2253

460.00 OPV

Julie A. Burke

12/11/2002 JBALINAN 00000091 09990312

01 FC:2006

370.00 OP

2. This request is filed by:

1. Full Name of Inventor	Family Name Madden	First Given Name Martin	Second Given Name P.
Residence & Citizenship	City Chicago	State or Foreign Country Illinois	Country of Citizenship U.S.A.
Post Office Address	Post Office Address 3814 N. Alta Vista	City Chicago	State & Zip Code/Country Illinois 60062

- ☐ This application is being filed by less than all the inventors named in the prior application. An accompanying statement requests deletion of the name(s) of the person(s) who are not inventors of the invention being claimed in this application.

3. Amendments

- ☐ Cancel claims in the prior application before calculating the filing fee.
- ☐ A Preliminary Amendment is enclosed.
- ☐ Please enter the Amendment Under 37 CFR 1.116 previously filed in the prior nonprovisional application on _____, but unentered.
- ☐ The filing fee is based upon entry of the foregoing amendment(s) (if any).

4. Priority

- ☐ Priority of application No. _____, filed on _____ in _____ is claimed under 35 USC 119.
- ☐ The certified copy(ies) was(were) filed in prior U.S. application Serial No. _____.
- ☐ The certified copy(ies) has(have) not been filed.

5. Assignment

- ☐ The prior application is assigned of record to _____, and has been recorded at Reel No. _____, Frame No. _____.

6. Power of Attorney

☐ A new power of attorney or authorization of agent is enclosed.

7. Small Entity Status

☒ Applicant claims small entity status. See 37 CFR 1.27.

☐ A small entity statement is(are) attached.

8. Information Disclosure Statement

☒ PTO-1449 is enclosed.

☒ Copies of Information Disclosure Statement citations are enclosed.

9. Application to Be Published

☐ Yes.

☐ No. A Request and Certification Under 35 U.S.C. §122(b)(2)(B)(i) is attached.

10. Fee Calculation

CLAIMS AS FILED - INCLUDING AMENDMENT(S) (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370.00		
TOTAL	10 - 20	= 0	X 9 =	\$0.00	X 18 =	
INDEP.	2 - 3	= 0	X 42 =	\$0.00	X 84 =	
: First Presentation of Multiple Dependent Claim			+ 140 =	\$0.00	+ 280 =	
Filing Fee:				\$370.00	OR	

11. Extension of Time

- ☒ This is a petition for an extension of time under 37 CFR 1.136 for the total number of months checked below:

EXTENSION (Months)	FEE FOR LARGE ENTITY		FEE FOR SMALL ENTITY	
One Month		\$110.00		\$55.00
Two Months		\$400.00		\$200.00
Three Months		\$920.00	X	\$460.00
Four Months		\$1,440.00		\$720.00
Fifth Month		\$1,960.00		\$980.00

If an additional Extension of Time is required, please consider this a petition therefor.

Extension Fee: \$460.00

11. Method of Payment of Fees

- ☒ Attached is a check in the amount of: \$830.00
- ☐ Charge Deposit Account No. 50-2455 in the amount of: \$ _____
A copy of this Transmittal is enclosed.

12. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 to Deposit Account No. 50-2455. A copy of this Transmittal is enclosed.

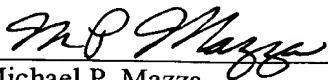
Please refund any overpayment to Grossman & Flight at the address below.

13. Correspondence Address

Respectfully submitted,

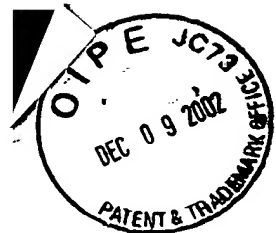
GROSSMAN & FLIGHT, LLC
20 North Wacker Drive
Suite 4220
Chicago, Illinois 60606
(312) 580-1020

By:



Michael P. Mazza
Registration No.: 34,092

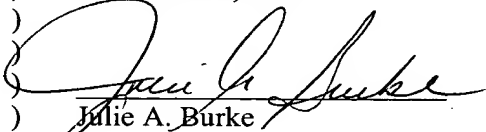
December 9, 2002



PATENT
20033/10001

#10
12/18/02
mel

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:)	I hereby certify that this the documents
)	referred to as enclosed therewith are
Martin P. MADDEN)	being deposited with the United States
)	Postal Service in an envelope addressed
Serial No: Not Yet Assigned)	to the Commissioner for Patents,
(Continuation of Serial No. 09/990,312))	Washington, D.C. 20231 utilizing the
)	"Express Mail Post Office to
Filed: December 9, 2002)	Addressee" service of the United States
)	Postal Service under Mailing Label No.
For: SYSTEM AND METHOD)	EV266323245US on this date:
FOR IMPLEMENTING A)	
MORTGAGE PLAN)	December 9, 2002
)	
Group Art Unit: Not Yet Assigned)	Julie A. Burke
Examiner: Not Yet Assigned)	

TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231
Attention: Official Draftsman


Sir:

Enclosed herewith are four (4) sheets of formal drawings for substitution in the
above-referenced application.

Respectfully submitted,

GROSSMAN & FLIGHT, LLC
20 North Wacker Drive
Suite 4220
Chicago, Illinois 60606
(312) 580-1020

By:


Michael P. Mazza
Registration No.: 34,092

December 9, 2002